

Law Offices  
FOLEY & LARDNER  
3000 K Street, N.W., Suite 500  
P.O. Box 25696  
Washington, D.C. 20007-8696  
(202) 672-5300

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(new nonprovisional applications under 37 CFR 1.53(b))**

Transmitted herewith for filing is the patent application of:

INVENTOR(S): Atsushi INOUE, Masahiro ISHIYAMA, Atsushi FUKUMOTO,  
Yoshiyuki TSUDA and Toshio OKAMOTO

TITLE: MOBILE IP COMMUNICATION SCHEME INCORPORATING INDIVIDUAL USER  
AUTHENTICATION

In connection with this application, the following are enclosed:

**APPLICATION ELEMENTS:**

XX Specification - 52 TOTAL PAGES

(preferred arrangement:)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regard Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

XX Drawings - Total Sheets 13

       Declaration and Power of Attorney - Total Sheets       

       Newly executed (original or copy)

       Copy from a prior application (37 CFR 1.63(d))

(relates to continuation/divisional boxes completed) - NOTE: Box below

       DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d) (2) and 1.33(b).

       Incorporation By Reference (useable if copy of prior application  
Declaration being submitted)

The entire disclosure of the prior application, from which a COPY of the  
oath or declaration is supplied as noted above, is considered as being  
part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

       Microfiche Computer Program (Appendix)

       Nucleotide and/or Amino Acid Sequence Submission (if applicable,  
all necessary)

       Computer Readable Copy

       Paper Copy (identical to computer copy)

       Statement verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

       Assignment Papers (cover sheet & document(s))

       37 CFR 3.73(b) Statement (when there is an assignee)

       English Translation Document (if applicable)

☒ Information Disclosure Statement (IDS) with PTO-1449. 1 Copies of IDS Citations  
Preliminary Amendment  
☒ Return Receipt Postcard (MPEP 503)  
Small Entity Statement(s)  
Statement file in prior application, status still proper and desired.  
Certified Copy of Priority Document(s) with Claim of Priority  
(if foreign priority is claimed).  
OTHER:

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation \_\_\_\_\_ Divisional \_\_\_\_\_ Continuation-in-part (CIP) \_\_\_\_\_  
of prior application Serial No. \_\_\_\_\_.

Amend the specification by inserting before the first line the following sentence: --This application is a \_\_\_\_\_ continuation, \_\_\_\_\_ divisional or \_\_\_\_\_ continuation-in-part of application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.--

**CORRESPONDENCE ADDRESS:**

Foley & Lardner Address noted above.  
Telephone: (202)672-5300  
Fax Number: (202)672-5399

**FEE CALCULATIONS:** (Small entity fees indicated in parentheses.)

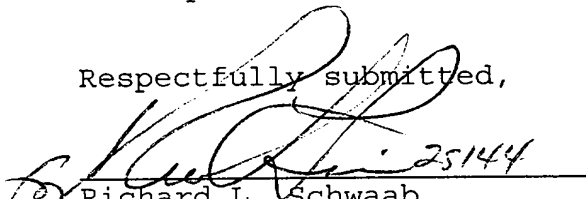
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$790 (\$395)
Total Claims	30 - 20 =	10	x \$22 (x \$11)	220.00
Independent Claims	7 - 3 =	4	x \$82 (x \$41)	328.00
Multiple Dependent Claims			\$270 (\$135)	0.00
Assignment Recording Fee per property			\$40	0.00
Surcharge Under 37 C.F.R. 1.16(e)			\$130 (\$65)	130.00
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If payment by check is NOT enclosed, it is requested that the Patent and Trademark Office advise the undersigned of the period of time within which to file the TOTAL FEE.

Respectfully submitted,

Date: September 4, 1998  
Docket No.: 040301/0539

  
Richard L. Schwaab  
Reg. No. 25,479

MOBILE IP COMMUNICATION SCHEME INCORPORATING  
INDIVIDUAL USER AUTHENTICATION

5 BACKGROUND OF THE INVENTION

FIELD OF THE INVENTION

The present invention relates to a mobile IP  
communication scheme, and more particularly, to a mobile  
10 computer device capable of carrying out communications  
while moving over networks in a system formed by a  
plurality of computers for providing necessary services by  
exchanging data among a plurality of inter-connected  
networks, and a mobile computer management device for  
15 managing a moving location information of the mobile  
computer and transferring packets destined to the mobile  
computer to a current location of the mobile computer, as  
well as a communication system and a mobile computer  
registration method suitable for these devices.

20

DESCRIPTION OF THE BACKGROUND ART

In conjunction with availability of a computer system  
in smaller size and lower cost and a more enriched network  
environment, the use of computer system has been rapidly  
25 expanded into variety of fields, and there is also a  
transition from a centralized system to a distributed  
system. In this regard, in recent years, because of the  
advance and spread of the computer network technology in  
addition to the progress and improved performance of the  
30 computer system itself, it has become possible to realize  
not only a sharing of resources such as files and printers  
within an office but also communications (electronic mail,  
electronic news, file transfer etc.) with outside of an  
office or organization, and these communications are now  
35 widely used.

In particular, in recent years, the use of the world's largest computer network called "Internet" has become very popular, and there are new computer businesses for connecting to the Internet and utilizing open information and services, or for providing information and services to external users who make accesses through the Internet. In addition, new technology is developed in relation to the use of the Internet.

Also, in conjunction with the spread of such networks, there are technological developments regarding the mobile computing. In the mobile computing, a user carries along a portable computer terminal and makes communications while moving over networks. In some cases, the user may change a location on a network while continuing the communication, so that there is a need for a scheme that manages a changing address of a mobile computer on a network during such a communication in order to route the communication content correctly.

In general, in a case of realizing the mobile computing, a router (home agent) for managing the visiting site information of the mobile computer is provided at a network (home network) to which the mobile computer belongs, and when the mobile computer is away from the home network, the mobile computer sends a registration message for indicating a current location to this home agent. When this registration message is received, the transmission of data destined to the mobile computer is realized by capturing it by the home agent of the mobile computer, and carrying out the data routing control with respect to the mobile computer by encapsulating an IP packet destined to an original address of the mobile computer within a packet destined to a current location address of the mobile computer.

For example, in Fig. 1, this role is played by a home agent (HA) 5 in a case where the mobile computer 2 that

originally belongs to the home network 1a moves to another network 1b and carries out the communication with another computer (correspondent host: CH) 3 within the other network 1c. This is a scheme called mobile IP which is  
5 currently in a process of being standardized by the mobile-IP working group of the IETF which is the standardizing organization for the Internet (see, IETF RFC 2002, IP mobility support (C. Perkins)).

Now, in the mobile IP scheme, when the mobile computer  
10 moves to a new visited site, there is a need to send a current location registration message to the home agent. Here, an authentication code according to a security information exchanged between the mobile computer and the home agent in advance is attached to the location  
15 registration message in order to avoid an attack such as pretending of the mobile computer. The location registration of the mobile computer will not take place if the registration message is not attached with the proper authentication code.

20 However, the security measure specified by the mobile IP is only the security in host (mobile computer) basis and does not authenticate an actual user who is using that mobile computer. Namely, if the host (mobile computer) itself is stolen by an improper user while the security  
25 information for the authentication between hosts is maintained within the host, it would be possible for the improper user to take out information on the home network by pretending the legitimate user so that it is very dangerous.

30 Also, even if it is not stolen, there is a possibility for the improper user to take out the secret information on the home network by just temporarily borrowing the mobile computer at which the registration processing is already carried out by the legitimate user.

35 In other words, the security measure in the

conventional mobile IP scheme is capable of coping with the pretending attack in host basis, but quite vulnerable to the attack of an improper user pretending a legitimate user. For this reason, there has been possibilities for  
5 having the secret information on the internal network improperly taken out to a visited site (external network).

On the other hand, if the mobile computer is stolen, the home network information (such as an IP address of the home agent, its authentication key, addresses of a default  
10 router and internal hosts, for example) that is registered in that mobile computer will also be stolen together, so that various types of attacks might be induced based on such a stolen information. Thus, such an information from  
15 should preferably be not maintained on the mobile computer as much as possible from a viewpoint of security, in order to prevent a security-wise very dangerous situation of having the mobile computer stolen together with the internal network information registered thereon.

20

#### SUMMARY OF THE INVENTION

It is therefore an object of the present invention to  
25 provide a mobile IP communication scheme capable of authenticating an individual user who is operating the mobile computer when the mobile computer is connected to a visited site network and transmits a current location registration message to the home agent.

30 It is another object of the present invention to provide a mobile IP communication scheme capable of coping even with a case where the improper user uses the mobile computer after the session is established, by regularly carrying out the user authentication even after the mobile  
35 computer once transmitted the current location registration

message to the home agent.

It is another object of the present invention to provide a mobile IP communication scheme capable of preventing an improper acquisition of the user information or the network information used at the mobile computer, or an invasion into the home network through an improper use of the mobile computer.

Specifically, the present invention provides a mobile computer management device, a mobile computer device a communication system and a mobile computer registration method for realizing such a mobile IP communication scheme.

According to one aspect of the present invention there is provided a mobile computer management device located in a home network of a mobile computer for enabling the mobile computer to carry out communications while moving over inter-connected networks, the mobile computer management device comprising: a registration unit for registering an information on a current location of the mobile computer, based on a registration message transmitted from the mobile computer, which is currently located outside the home network; a transfer unit for transferring packets destined to the mobile computer to the current location of the mobile computer according to the information registered by the registration unit; and a user authentication unit for carrying out a user authentication, prior to a registration of the information on the current location of the mobile computer, to judge a properness of a user of the mobile computer according to a user-input-based information received from the mobile computer, and controlling the registration of the information by the registration unit according to a result of the user authentication.

According to another aspect of the present invention there is provided a mobile computer device capable of carrying out communications while moving over inter-connected networks, the mobile computer device comprising:

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a registration message transmission unit for transmitting a registration message containing an information on a current location of the mobile computer device, from outside a home network of the mobile computer device to a mobile computer management device located at the home network, the mobile computer management device having a function for managing the information on the current location of the mobile computer device and transferring packets destined to the mobile computer device to the current location of the mobile computer device; a user input unit for accepting a user input for user authentication; and a user-input-based information transmission unit for transmitting to the mobile computer management device a response message containing information based on the user input as a user authentication information, when a challenge message that requests returning of the user authentication information is received from the mobile computer management device in response to the registration message.

According to another aspect of the present invention there is provided a mobile computer device capable of carrying out communications while moving over interconnected networks, the mobile computer device comprising: an external interface unit for reading out desired information from an external memory device connected to the mobile computer device, wherein the external memory device stores at least a user information and a network information to be used for communications at a visited site; a user authentication unit for carrying out first user authentication locally at the mobile computer device according to the user information stored in the external memory device and a user input; a registration message transmission unit for transmitting a registration message containing an information on a current location of the mobile computer device, from outside a home network of the mobile computer device to a mobile computer management



device located at the home network, by using the network information read out from the external memory device under a control by the user authentication unit, the mobile computer management device having a function for managing  
5 the information on the current location of the mobile computer device and transferring packets destined to the mobile computer device to the current location of the mobile computer device; and a user-input-based information transmission unit for transmitting to the mobile computer  
10 management device a user-input-based information to be used for second user authentication at the mobile computer management device.

According to another aspect of the present invention there is provided a method for registering a mobile  
15 computer in a mobile computer management device for enabling the mobile computer to carry out communications while moving over inter-connected networks, the mobile computer management device having having a function for managing information on a current location of the mobile  
20 computer device and transferring packets destined to the mobile computer device to the current location of the mobile computer device, the method comprising the steps of: transmitting a registration message containing the information on the current location of the mobile computer  
25 from the mobile computer at a visited site to a mobile computer management device at a home network of the mobile computer; carrying out a user authentication to judge a properness of a user of the mobile computer according to a user-input-based information; and registering the current  
30 location of the mobile computer at the mobile computer management device when the user is judged as a proper user.

According to another aspect of the present invention there is provided an article of manufacture, comprising: a computer usable medium having computer readable program  
35 code means embodied therein for causing a computer to

function as a mobile computer management device located in a home network of a mobile computer for enabling the mobile computer to carry out communications while moving over inter-connected networks, the computer readable program

5 code means includes: first computer readable program code means for causing said computer to register an information on a current location of the mobile computer, based on a registration message transmitted from the mobile computer, which is currently located outside the home network; second  
10 computer readable program code means for causing said computer to transfer packets destined to the mobile computer to the current location of the mobile computer according to the information registered by the first computer readable program code means; and third computer  
15 readable program code means for causing said computer to carry out a user authentication, prior to a registration of the information on the current location of the mobile computer, to judge a properness of a user of the mobile computer according to a user-input-based information  
20 received from the mobile computer, and controlling the registration of the information by the first computer readable program code means according to a result of the user authentication.

According to another aspect of the present invention  
25 there is provided an article of manufacture, comprising: a computer usable medium having computer readable program code means embodied therein for causing a computer to function as a mobile computer capable of carrying out communications while moving over inter-connected networks,  
30 the computer readable program code means includes: first computer readable program code means for causing said computer to transmit a registration message containing an information on a current location of the mobile computer, from outside a home network of the mobile computer to a  
35 mobile computer management device located at the home

network, the mobile computer management device having a function for managing the information on the current location of the mobile computer and transferring packets destined to the mobile computer to the current location of the mobile computer; and second computer readable program code means for causing said computer to accept a user input for user authentication; and third computer readable program code means for causing said computer to transmit to the mobile computer management device a response message containing information based on the user input as a user authentication information, when a challenge message that requests returning of the user authentication information is received from the mobile computer management device in response to the registration message.

15       According to another aspect of the present invention there is provided an article of manufacture, comprising: a computer usable medium having computer readable program code means embodied therein for causing a computer to function as a mobile computer device capable of carrying out communications while moving over inter-connected networks, the computer readable program code means includes: first computer readable program code means for causing said computer to read out desired information from an external memory device connected to the mobile computer device, wherein the external memory device stores at least a user information and a network information to be used for communications at a visited site; second computer readable program code means for causing said computer to carry out first user authentication locally at the mobile computer device according to the user information stored in the external memory device and a user input; third computer readable program code means for causing said computer to transmit a registration message containing an information on a current location of the mobile computer device, from outside a home network of the mobile computer device to a

mobile computer management device located at the home network, by using the network information read out from the external memory device under a control by the second computer readable program code means, the mobile computer management device having a function for managing the information on the current location of the mobile computer device and transferring packets destined to the mobile computer device to the current location of the mobile computer device; and fourth computer readable program code means for causing said computer to transmit to the mobile computer management device a user-input-based information to be used for second user authentication at the mobile computer management device.

Other features and advantages of the present invention will become apparent from the following description taken in conjunction with the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic diagram showing an exemplary basic configuration of a communication system according to the present invention.

Fig. 2 is a diagram showing an exemplary format of a registration message that can be used in the present invention.

Fig. 3 is a diagram showing a detail of an "Extensions" field in the format of Fig. 2 that can be used in the first embodiment of the present invention.

Fig. 4 is a diagram showing an exemplary format of a registration reply message that can be used in the first embodiment of the present invention.

Fig. 5 is a sequence chart for a user authentication using challenge and response messages according to the first embodiment of the present invention.

Figs. 6A and 6B are diagrams showing exemplary formats of challenge and response messages used in the processing of Fig. 5.

Fig. 7 is a sequence chart for a user authentication using one-time password according to the first embodiment of the present invention.

Figs. 8A and 8B are diagrams showing exemplary formats of challenge and response messages used in the processing of Fig. 7.

Fig. 9 is a schematic block diagram showing a supplemental functional configuration for a home agent that can be used in the first embodiment of the present invention.

Fig. 10 is a flow chart for an operation by the supplemental functional configuration of Fig. 9.

Fig. 11 is a schematic block diagram showing a supplemental functional configuration for a mobile computer that can be used in the first embodiment of the present invention.

Fig. 12 is a flow chart for an operation by the supplemental functional configuration of Fig. 11.

Fig. 13 is a schematic block diagram showing another supplemental functional configuration for a home agent that can be used in the first embodiment of the present invention.

Fig. 14 is a flow chart for an operation by the supplemental functional configuration of Fig. 13.

Fig. 15 is a block diagram showing an exemplary internal configuration of a home agent according to the first embodiment of the present invention.

Fig. 16 is a block diagram showing an exemplary configuration of a mobile computer and an external memory device according to the second embodiment of the present invention.

Fig. 17 is a diagram showing a detail of an

"Extensions" field in the format of Fig. 2 that can be used in the first embodiment of the present invention.

Fig. 18 is a schematic block diagram showing a supplemental functional configuration for a mobile computer that can be used in the second embodiment of the present invention.

Fig. 19 is a flow chart for an operation by the supplemental functional configuration of Fig. 18.

Fig. 20 is a schematic diagram showing an exemplary configuration of a communication system according to the present invention in the case of using packet encryption.

Fig. 21 is a block diagram showing an exemplary configuration of a mobile computer and an external memory device according to the present invention in the case of using packet encryption.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring now to Fig. 1 to Fig. 15, the first embodiment of a mobile IP communication scheme according to the present invention will be described in detail.

Fig. 1 shows an exemplary basic configuration of a communication system according to the first embodiment. This communication system of Fig. 1 is assumed to be supporting communications of a mobile computer according to the mobile IP (RFC 2002). Note that the mobile IP protocol has two modes including a mode that assumes an existence of a router called foreign agent for carrying out a packet delivery with respect to the mobile computer at a visited site network and a Co-located Care-of address mode in which no foreign agent is provided (the mobile computer itself plays the role of a foreign agent), and the following description will be given for an exemplary case of adopting the latter mode.

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In Fig. 1, a home network 1a, a first other section network 1b and a second other section network 1c are interconnected through the Internet 6, while a mobile computer (MN) 2 and its correspondent host (CH) 3 are connected within these networks, or connected to the Internet 6 as external nodes.

In this first embodiment, the case where the mobile computer 2 having its home position within the network 1a has moved to the other section network 1b will be described.

The home network 1a is provided with a home agent (HA) 5 for managing an information on a current location at the visited site of the mobile computer in order to support the mobile IP protocol. Here, the number of mobile computers to be managed by one home agent is arbitrary. As described above, a transferred IP packet destined to the mobile computer 2 on move is captured by the home agent 5 at the home network, where the routing control for data with respect to the mobile computer 2 can be realized by encapsulating an IP packet destined to an original address (an address in the home network 1a) of the mobile computer 2 within a packet in the mobile IP format which is destined to the current location address.

When the mobile computer 2 moves outside its own home network, the mobile computer 2 acquires an address to be used at a visited site network using a protocol such as DHCP (Dynamic Host Configuration Protocol) or PPP (Point-to-Point Protocol) at the visited site network (1b in this example). When the address is acquired, the mobile computer 2 transmits a registration message containing a current location information to the home agent 5 in the home network 1a.

Fig. 2 shows an exemplary format of the registration message to be transmitted from the mobile computer 2 to the home agent 5, which includes the following fields.

"FLAG" indicates an operation mode (such as an encapsulation method) of the mobile IP.

"Lifetime" indicates a valid period of this registration. When the valid period is over, the mobile  
5 computer 2 must carry out the re-registration by transmitting the registration message to the home agent 5 again.

"Home Address" indicates a home location of the mobile computer, "Care-of Address" indicates a current location of  
10 the mobile computer 2, and "Home Agent" indicates an address of the home agent 5.

"Identification" indicates an ID given to the registration, which is added in order to prevent a replay attack.

15 "Extensions" contains at least an authentication information (for the host authentication) used between the mobile computer 2 and the home agent 5. A detail of this "Extensions" field is shown in Fig. 3, where "SPI" indicates a security parameter index exchanged between the  
20 mobile computer 2 and the home agent 5, and "Authenticator" indicates the authentication code.

When this registration message is received by the home agent 5 and the registration processing is properly carried out, a registration reply message as shown in Fig. 4 is  
25 returned to the mobile computer 2, where "Code" describes a reply code 0 or 1 indicating the registration success. On the other hand, when the registration fails, the registration reply message in the same format as that of Fig. 4 is returned to the mobile computer 2, where reply  
30 codes indicating various reasons for the registration failure are described. In the following, the exemplary list of reply codes that can be used here will be illustrated, where the number on a left side of a colon is a code and the description on a right side of a colon is the content  
35 indicated by the code on the left side.



<Case of Success>

0 : registration accepted

1 : registration accepted, but simultaneous mobility  
bindings unsupported

5 <Case of Failure for Foreign Agent>

64 : reason unspecified

65 : administratively prohibited

66 : insufficient resources

67 : mobile node failed authentication

10 68 : home agent failed authentication

69 : requested Lifetime too long

70 : poorly formed Request

71 : poorly formed Reply

72 : requested encapsulation unavailable

15 73 : requested Van Jacobson compression unavailable

80 : home network unreachable (ICMP error received)

81 : home agent host unreachable (ICMP error received)

82 : home agent port unreachable (ICMP error received)

88 : home agent unreachable (ICMP error received)

20 <Case of Failure for Home Agent>

128 : reason unspecified

129 : administratively prohibited

130 : insufficient resources

131 : mobile node failed authentication

25 132 : foreign agent failed authentication

133 : registration Identification mismatch

134 : poorly formed Request

135 : too many simultaneous mobility bindings

136 : unknown home agent address)

30 Now, in this first embodiment, when the home agent 5

receives the registration message from the mobile computer

2, the registration processing is not carried out

immediately, and the user authentication for the mobile

computer 2 is carried out first, and the registration

35 processing is carried out only when the user authentication

succeeded.

Here, in an exemplary case of exchanging challenge and response messages in order for the home agent 5 to authenticate the user who is using the mobile computer 2, the processing according to the sequence chart of Fig. 5 is carried out as follows.

Fig. 6A shows a format of a challenge message and Fig. 6B shows a format of a response message used in this processing.

10 In this example, when the mobile computer 2 transmits the registration request message to the home agent 5, the home agent 5 checks the authentication information and carries out the host authentication first. Then, when the host authentication succeeds, the home agent 5 returns the  
15 challenge message for requesting a password input to the mobile computer 2.

When this challenge message is received, the mobile computer 2 urges the password input to the user by displaying a message for example. Then, when the password  
20 is entered, the response message containing the password entered by the user is transmitted to the home agent 5.

When the response message is received, the home agent 5 carries out the comparison with the password that is registered in advance in correspondence to the mobile  
25 computer 2 while the mobile computer 2 was located at the home network. As a result of this comparison, if it is confirmed that the password returned from the mobile computer 2 is the proper one, it is judged that the registration of the current location is to be permitted so  
30 that the registration reply message containing a reply code indicating the registration success is returned while the current location is registered and the transfer of data packets to the mobile computer 2 is started.

By carrying out the password authentication at the  
35 home agent 5 side in this manner, it becomes unnecessary to

maintain the information for the user authentication in the mobile computer 2 and carry it around, so that it is possible to avoid a risk associated with the stealing of the mobile computer 2. Also, it becomes possible for a manager of the entire system to carry out the centralized management at the home agent 5 even for the password used by the mobile computer on move, so that it becomes easier to cope with an abnormal situation such as that of the improper use of the computer, and it becomes possible to realize the safer system operation.

In addition, at the home agent 5 side, the authentication as to whether the host that has transmitted the registration message of the mobile IP is a legitimate host or not and the authentication as to whether the user who is trying to carry out the mobile IP communications is a legitimate user or not can be carried out independent, so that it is possible to permit the mobile IP communications with respect to arbitrary combination of user and host (mobile computer). Namely, a system where the user authentication is carried out between the user and the host rather than the home agent while the host authentication is carried out between the host and the home agent, the authentication would be possible for a fixed combination of user and host alone, whereas in this embodiment, it is possible to realize a more flexible management.

As for the subsequent re-registration messages, there are various methods for handling the subsequent re-registration messages, including a method which carries out the user authentication every time by the same procedure, a method which carries out the user authentication once in every prescribed number of times, and a method which does not carry out the user authentication, for example.

Note that, in the above, the password is returned from the mobile computer 2 to the home agent 5, but it is also possible to return a set of the password and the user ID

such that the home agent 5 judges the legitimacy of the user by checking whether this set coincides with that registered in advance or not.

Note also that the above is directed to an exemplary procedure in which the host authentication is carried out in response to the registration request from the mobile computer 2 to the home agent 5 first, and then the user authentication is carried out only after a message urging the password transmission is returned from the home agent 5 to the mobile computer 2, but it is also possible to carry out the host authentication and the user authentication in a single step by including the password in the registration request initially transmitted from the mobile computer 2.

Note however that, by using separate steps for the host authentication based on the registration request and the user authentication based on the password transmission, or by sending the user name and the password separately at a time of the user authentication, it is possible to realize the management based on an even stronger security. The latter case adopts a scheme where the user name is sent first, and upon receiving this the home agent 5 returns the challenge message for a one-time password according to the initial data registered in correspondence to each user in advance, and then the normal registration is carried out when the user returns the response message in response, for example. On the other hand, in the former case, when the reply message from the home agent in response to the registration request is received, the mobile computer can transmit the user authentication information (password) after checking whether the correspondent host is the proper home agent or not.

In practice, whether to process the registration request and the user authentication information as one set, whether to process the user name and the password as one set, or whether to handle these as separate messages,

should be determined according to the required specification regarding the system security and the required specification regarding the user interface on the mobile computer side.

5       Note also that the above is directed to an exemplary case of the user authentication using a simple password matching, but the other methods of the user authentication may be used instead. For example, it is possible to adopt the user authentication using a one-time password.

10       In the case of the user authentication using a one-time password, the processing according to the sequence chart of Fig. 7 is carried out as follows.

Fig. 8A shows a format of a challenge message and Fig. 8B shows a format of a response message used in this processing.

15       In this example, when the mobile computer 2 transmits the registration request message to the home agent 5, the home agent 5 checks the authentication information and carries out the host authentication first. Then, when the host authentication succeeds, the home agent 5 obtains a challenge code of a one-time password according to the registration information of the user who uses this mobile computer 2. Then, the challenge message with this challenge code attached thereto for requesting a password input is returned to the mobile computer 2.

25       When this challenge message is received, the mobile computer 2 utilizes another utility to calculate a response data with respect to this challenge which reflects the one-time password challenge code within this challenge message and the password entered by the user, and transmits the response message containing this response data to the home agent 5.

30       When the response message is received, the home agent 5 carries out the same calculation as done by the mobile computer 2 according to the registration information and

then carries out the data matching, and if it is the proper one, it is judged that the registration of the current location is to be permitted so that the registration reply message containing a reply code indicating the registration success is returned while the current location is registered and the transfer of data packets to the mobile computer 2 is started.

As for the subsequent re-registration messages, there are various methods for handling the subsequent re-registration messages, including a method which carries out the user authentication every time by the same procedure, a method which carries out the user authentication once in every prescribed number of times, and a method which does not carry out the user authentication, for example.

Note here that, in each example described above, it is also possible to return the registration reply message containing a code indicating the user authentication failure to the mobile computer immediately when the user authentication fails. Else, it is also possible to return the registration reply message containing a code indicating the user authentication failure to the mobile computer after repeating the exchange of the challenge message and the response message for a prescribed number of times and the user authentication still fails.

Now, the two examples described above are directed to the user authentication at a timing where the mobile computer 2 is connected to the visited site network and starts the registration processing, but it is preferable in practice to cope also with the case where the improper user improperly uses the mobile computer 2 after the completion of the registration in order to leak the information inside the home network, such as the case where the legitimate user temporarily leaves the mobile computer 2 without turning it off and the improper user uses the mobile computer 2 while the legitimate user is absent.

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In order to cope with such cases, it is possible to transmit a user authentication request message from the home agent 5 to the mobile computer 2 at regular intervals, even after the registration processing was successfully completed once. In this case, the home agent 5 is supplemented with a function configuration as shown in Fig. 9, and the processing according to the flow chart shown in Fig. 10 is carried out as follows.

In the home agent 5 having the functional configuration of Fig. 9, the user re-authentication interval time specified by the user (or the system manager) in advance is entered in an interval register 51, and a timer counter 52 is initialized to 0 (step S11). Also, when the user authentication sequence is executed for some mobile computer 2 (step S15), the timer counter 52 corresponding to that mobile computer 2 is cleared to 0 at the step S11. Note that the initial user authentication sequence is executed when the first registration message after moving is received for some mobile computer, as in the case of Fig. 5 or Fig. 7, for example.

Then, upon receiving the current location re-registration message from the mobile computer, the location re-registration is carried out (step S12), and the corresponding timer counter 52 is updated to the elapsed time (step S13).

Also, at a time of the location re-registration, the interval time registered in the interval register 51 and the counter value of the corresponding timer counter 52 are compared at a comparison unit 53 (step S14), and when the counter value of the timer counter 52 is smaller than the interval time (step S14 YES), the re-registration of the current location and the registration success message transmission are carried out without the user authentication.

On the other hand, when the counter value of the timer

counter 52 reaches to the interval time (step S14 N0), the user authentication such as that of Fig. 5 or Fig. 7 is executed again at a user authentication unit 54 (step S15). When the user authentication is successfully carried out, it is judged that the re-registration of the current location is to be permitted so that the registration reply message containing a reply code for the registration success is returned while the re-registration of the current location is carried out and the transfer of data packets to the mobile computer 2 is continued. Then, the corresponding timer counter 52 is cleared to 0 again.

Subsequently, a series of operations including the repetitions of the location re-registration without the user authentication and the timer counter updating, and the user authentication whenever a prescribed period of time has elapsed and the location re-registration when the user authentication succeeds, will be repeated until the valid period of the current location expires, or the user authentication fails, or the location registration fails.

Also, as already mentioned above, it is possible to return the registration reply message containing a code indicating the user authentication failure to the mobile computer immediately when the user authentication fails. Else, it is also possible to return the registration reply message containing a code indicating the user authentication failure to the mobile computer after repeating the exchange of the challenge message and the response message for a prescribed number of times and the user authentication still fails.

Note also that, in the above, an exemplary case of carrying out the user authentication whenever a prescribed period of time elapses has been described, but it is possible to carry out the user authentication whenever the re-registration message is received, and it is also possible to carry out the user authentication once after



receiving a prescribed number of re-registration messages.

Now, in the case where the mobile computer 2 is stolen and the improper user tries to make the registration request from outside the home network, for example, as long  
5 as the user authentication such as that of Fig. 5, Fig. 7 or Fig. 10 is used, the current location cannot be registered and therefore the improper use cannot be made (because it is extremely difficult to successfully complete the user authentication in the ordinary way). However,  
10 there is still a possibility for having the traffic of the home network jammed as the improper user repeatedly carries out the transmission and reception of the registration and user authentication messages in an attempt to break the password in the exhaustive trials and errors fashion such  
15 that it becomes difficult to operate the system normally. There is also a possibility for receiving a password guessing attack using dictionaries and the like from the improper user.

In order to cope with these possibilities, it is  
20 possible to make the mobile computer 2 such that it becomes impossible to transmit the message from the mobile computer 2 after the user authentication failure is repeated for a prescribed number of times. In this case, the mobile computer 2 is supplemented with a function configuration as  
25 shown in Fig. 11, and the processing according to the flow chart shown in Fig. 12 is carried out as follows.

In the mobile computer 2 having the functional configuration of Fig. 11, the consecutive user authentication failure attempts number specified by the  
30 user (or the system manager) in advance is entered in a failed attempts register 121, and a failed attempts counter 122 is initialized to 0 (step S21).

Then, whenever the user authentication is attempted (step S22) and the user authentication is not successful as  
35 a message indicating the user authentication failure is

received from the home agent 5 (step S23 NO), the failed attempts counter 122 is incremented by one (step S24). On the other hand, when the user authentication is successful (step S23 YES), the failed attempts counter 122 is reset to 0.

Then, the value of the failed attempts register 121 and the value of the failed attempts counter 122 are compared at a comparison unit 123 (step S25), and when they coincide (step S25 YES), the mobile computer 2 activates a message transmission stopping control unit 124 so as to stop all subsequent message transmissions (step S26). Here, it is assumed that the use of a unique information of this mobile computer 2 that is stored in the home agent is necessary in releasing the message transmission stopping by the message transmission stopping control unit 124.

Now, in the above, the message transmission stopping function is provided in the mobile computer, but alternatively, it is also possible to make the home agent 5 such that the registration is refused for any subsequent registration messages after the user authentication failure is repeated for a prescribed number of times. In this case, the home agent 5 is supplemented with a function configuration as shown in Fig. 13, and the processing according to the flow chart shown in Fig. 14 is carried out as follows.

In the home agent 5 having the functional configuration of Fig. 13, the consecutive user authentication failure attempts number specified by the user (or the system manager) in advance is entered in a failed attempts register 151 corresponding to each mobile computer, and a failed attempts counter 152 corresponding to each mobile computer is initialized to 0 (step S31).

Then, whenever the user authentication is attempted (step S32) and the user authentication is not successful (step S33 NO), the corresponding failed attempts counter

152 is incremented by one (step S34). On the other hand, when the user authentication is successful (step S33 YES), the failed attempts counter 152 is reset to 0.

Then, the value of the failed attempts register 151 and the value of the failed attempts counter 152 are compared at a comparison unit 153 (step S35), and when they coincide (step S35 YES), the home agent 5 activates a registration message admission refusal control unit 154 so as to refuse admission of all subsequent registration messages from that mobile computer 2 (step S36). Here, it is assumed that the use of a unique information of this mobile computer 2 that is stored in the home agent is necessary in releasing the message transmission stopping by the message transmission stopping control unit 124.

Note that, in this scheme, the security standard can be considered rather low compared with the scheme of Fig. 11 and Fig. 12 in that it cannot prevent unnecessary message exchanges, but it can still be utilized effectively by selecting either a scheme of Fig. 11 or a scheme of Fig. 13 depending on the policy and the like at the site, for example.

It is also possible to modify the two schemes described above in such a manner that the occurrence of the consecutive user authentication failures for a prescribed number of times is detected at the mobile computer 2 side and notified from the mobile computer 2 to the home agent 5, and then the home agent 5 refuses the admission of all subsequent registration messages from that mobile computer 2.

In the examples described above, it is also possible to delete the registration of the mobile computer 2 at a timing where the occurrence of the consecutive user authentication failures for a prescribed number of times is detected. Else, it is also possible to support the packet transfer for the mobile computer 2 until the valid period

expires.

Fig. 15 shows an exemplary internal configuration of the home agent 5 that can be used in this first embodiment, which generally comprises a location registration

5 processing unit 101, a data input/output unit 102, and a communication processing unit 103. The packets are transmitted and received to and from the network at the data input/output unit 102 and those packets for the location registration are given to the location  
10 registration processing unit 101 while the other packets are given to the communication processing unit 103.

The location registration processing unit 101 includes a user information extraction unit 111 for extracting the user information from the received registration request  
15 message and storing it into a user authentication database 114, a challenge generation unit 112 for generating the challenge message according to the information stored in the user authentication database 114, a password extraction unit 113 for extracting the password from the received  
20 response message and storing it into the user authentication database, and a registration reply generation unit 115 for generating the registration reply message according to the information stored in the user authentication database 114.

25 As described, the security measure provided in the conventional mobile IP scheme is capable of coping with the pretending attack in host basis, but quite vulnerable to the attack of an improper user pretending a legitimate user. For this reason, there has been possibilities for  
30 having the secret information on the internal network improperly taken out to a visited site (external network).

In this regard, according to this first embodiment, when the mobile computer is connected to the visited site network and transmits the current location registration  
35 message to the home agent, the information that cannot

possible be known by anyone other than the registered  
legitimate user is exchanged between the mobile computer  
and the home agent, so that it is possible to authenticate  
the user who is operating the mobile computer and therefore  
5 it is possible to operate the mobile computer more safely.

Also, according to this first embodiment, the user  
authentication is carried out regularly even after the  
mobile computer transmitted the current location  
registration message to the home agent once, so that it is  
10 possible to cope with the case where the improper user uses  
the mobile computer after the session is established. Also,  
when the authentication attempts by the improper user fails  
for a prescribed number of times, the subsequent  
registration message transmissions are stopped or the  
15 subsequent registration message admissions are refused, so  
that it is possible to prevent the improper operations  
involving the stealing of the mobile computer or the  
pretending of the legitimate user.

20 Referring now to Fig. 16 to Fig. 21, the second  
embodiment of a mobile IP communication scheme according to  
the present invention will be described in detail.

In this second embodiment, the basic configuration of  
a communication system is the same as that shown in Fig. 1  
25 which is assumed to be supporting communications of a  
mobile computer according to the mobile IP (RFC 2002), and  
the following description will be given for an exemplary  
case of adopting the Co-located Care-of address mode. In  
the following, the case where the mobile computer 2 having  
30 its home position within the network 1a has moved to the  
other section network 1b will be described.

Fig. 16 shows an exemplary schematic configuration of  
the mobile computer 2 in this second embodiment, where the  
information necessary for the mobile communications is  
35 maintained in an external memory device 32 rather than

maintaining it within a body of the mobile computer 2.  
Here, the external memory device 32 is assumed to be  
maintaining a user information 321, a home agent  
information 322 and a home address information 323 therein.

- 5 For this external memory device 32, a memory card can be  
used, for example.

The mobile computer 2 with the external memory device  
32 connected thereto takes out the user information 321,  
the home agent information 322 and the home address  
10 information 323 from the external memory device 32 through  
an interface 21 according to the need, and generates the  
registration message in the format shown in Fig. 2  
according to these information at a message generation unit  
22 and transmits it to the home agent 5. The similar  
15 operation is also carried out when the information stored  
in the external memory device 32 is necessary in the other  
data communications.

In this second embodiment, when the mobile computer 2  
detects that the external memory device 32 is attached  
20 thereto (or when the mobile computer 2 with the external  
memory device 32 already attached thereto is activated, or  
when the specific communication program is activated), for  
example, the mobile computer 2 requests to the user an  
input of a password corresponding to the user personal  
25 information (user ID for example) contained in the user  
information 323 that is read out from the attached external  
memory device 32. On the other hand, the mobile computer 2  
itself stores therein sets of the user personal information  
and the password (or the mobile computer 2 itself stores a  
30 single set of the user personal information and the  
password and the user sets the password in advance).

Then, the entered password is compared with the  
password corresponding to the user information 323 that is  
set inside the mobile computer 2, and if they match, the  
35 information stored in the external memory device 32 is

loaded into prescribed storage devices such as disk and RAM provided in the mobile computer 2 either immediately or when the need arises, and utilized in carrying out communications. In this way, it is possible to prevent the improper use of the once lost external memory device 32 by the others, for example.

When the password authentication fails, a message for urging the password input to the user is presented again, and when the password input failure is consecutively made for a prescribed number of times (including once), all subsequent information reading from the external memory device 32 should preferably be locked out.

Note that the information loaded into the mobile computer 2 from the external memory device 32 should preferably be deleted from all the storage devices such as disk and RAM provided in the mobile computer 2 when the communication program which requires that information is finished, or when the external memory device 32 is detached from the mobile computer 2 at a time of deactivation of the mobile computer 2. Any suitable combination of the data deletion timing and the password input timing as described above may be used.

Note also that, in the above, the password authentication is used in controlling permission/refusal of the data reading from the external memory device 32, but instead of that, it is also possible to carry out the control such that the message transmission is permitted when the password authentication succeeds and the subsequent registration request message transmissions or all message transmissions from the mobile computer 2 are refused when the password authentication fails consecutively for a prescribed number of times.

It is also possible to provide another password to be used in controlling permission/refusal of the registration request transmissions, in addition to controlling

permission/refusal of the data reading from the external memory device 32 according to the password authentication as described above.

Now, in order to make it possible to use the mobile computer 2 more safely, it is preferable to additionally provide a function for carrying out the user authentication between the mobile computer 2 and the home agent 5 at its home network. For the user authentication between the mobile computer 2 and the home agent 5, it is possible to use a scheme in which the information for the user authentication is included in the registration message when the mobile computer 2 transmits the registration message to the home agent 5. In this case, by incorporating a part or a whole of the user information 323 read out from the external memory device 32 into the "Extensions" field of the registration message shown in Fig. 2 in an appropriate format and transmitting such a registration message, it becomes possible to carry out the user authentication at the home agent 5 side. An exemplary data format for the user information (User info) to be included in this "Extensions" field is shown in Fig. 17.

When such a registration request message is transmitted from the mobile computer 2 to the home agent 5, the home agent 5 first checks the host authentication information and carries out the host information while checking the user information and carrying out the user authentication. Then, when both the host authentication and the user information succeed, the home agent 5 judges that the registration of the current location of the mobile computer 2 is to be permitted so that the registration reply message containing a reply code indicating the registration success is returned while the current location is registered and the transfer of data packets to the mobile computer 2 is started. Here, if at least one of the authentications fails, the registration failure message



containing an information indicating the failure of the host authentication and/or the user authentication, for example, is returned to the mobile computer 2.

Now, in addition to the user authentication between  
5 the mobile computer 2 and the home agent 5 as described above, it is preferable to additionally provide the following function separately. Namely, considering the case where the mobile computer 2 is stolen, it is preferable to return the user password request from the home agent 5 so  
10 that the improper user cannot use it. In this case, it is possible to use a scheme in which, upon receiving the registration message from the mobile computer 2, the home agent 5 activates the execution of the user authentication procedure between the mobile computer 2 and the home agent  
15 5 and carries out the registration processing if the user authentication was successful.

To this end, it is possible to use a scheme in which challenge and response messages are exchanged in order for the home agent 5 to authenticate the user who is using the  
20 mobile computer 2. In this case, the processing according to the sequence chart of Fig. 5 and formats of a challenge message and a response message as shown in Fig. 6A and Fig. 6B as described above can be used.

In this case, when the mobile computer 2 transmits the  
25 registration request message to the home agent 5, the home agent 5 checks the authentication information and carries out the host authentication first. Then, when the host authentication succeeds, the home agent 5 returns the challenge message to the mobile computer 2.

When this challenge message is received, the mobile  
30 computer 2 transmits the response message containing the authentication data entered by the user is transmitted to the home agent 5. Here, the authentication data can be a password for example. For this password, the same password  
35 as that used for the password authentication described

above may be used or another password may be used.

When the response message is received, the home agent 5 carries out the comparison of the authentication data contained in the response message with the authentication data that is registered in advance in correspondence to the mobile computer 2 while the mobile computer 2 was located at the home network, so as to check whether the received authentication data is the proper one or not. As a result of this comparison, if it is confirmed that the authentication data returned from the mobile computer 2 is the proper one, it is judged that the registration of the current location is to be permitted so that the registration reply message containing a reply code indicating the registration success is returned while the current location is registered and the transfer of data packets to the mobile computer 2 is started.

Here, when it is judged that the authentication data is not the proper one, the home agent 5 either stops a series of processing by transmitting to the mobile computer 2 a message indicating that the user authentication failed, or transmits to the mobile computer 2 another challenge message containing an information indicating that the user authentication failed. In the latter case, if the proper authentication data is not received even after repeating this message exchange for a prescribed number of times, it is preferable to stop a series of processing by returning a message indicating that the user authentication failed.

Note that the above is directed to an exemplary case of exchanging password, but it is also possible to adopt a scheme in which the one-time password is generated by using a prescribed function stored in the external memory device 32 (or the mobile computer 2) from the first data that is generated at each occasion and given from the home agent 5 and the second data that is entered by the user, and this one-time password is returned from the mobile computer 2 to

the home agent 5, and then the home agent 5 checks whether the returned one-time password is the proper one or not according to the first data generated by the home agent 5 itself and the second data and the prescribed function which are stored therein in advance.

Now, in the above described case, it is also preferable to additionally provide a function for making the subsequent data reading from the external memory device impossible when the mobile computer 2 repeats the user authentication failure for a prescribed number of times as in the case where the improper user repeatedly enters incorrect data. In this case, the mobile computer 2 is supplemented with a function configuration as shown in Fig. 18, and the processing according to the flow chart shown in Fig. 19 is carried out as follows.

In the mobile computer 2 having the functional configuration of Fig. 18, the consecutive user authentication failure attempts number specified by the user (or the system manager) in advance is entered in a failed attempts register 23, and a failed attempts counter 24 is initialized to 0 (step S41).

Then, whenever the user authentication is attempted (step S42) and the user authentication is not successful as a message indicating the user authentication failure is received from the home agent 5 (step S43 NO), the failed attempts counter 24 is incremented by one (step S44). On the other hand, when the user authentication is successful (step S43 YES), the failed attempts counter 24 is reset to 0.

Then, the value of the failed attempts register 23 and the value of the failed attempts counter 24 are compared at a comparison unit 25 (step S45), and when they coincide (step S45 YES), the mobile computer 2 activates a data reading prohibition control unit 26 so as to stop all subsequent data reading from the external memory device 32

(step S46).

When the password input failure is repeated for a prescribed number of times as in the above and the subsequent data reading from the external memory device 32 is prohibited by the data reading prohibition control unit 26, it is assumed that the use of a unique information of this mobile computer 2 that is stored in the home agent is necessary in releasing this data reading prohibition.

For example, the user data (set by the system manager at a time of installing) for releasing the data reading prohibition by the data reading prohibition control unit 26 that is managed at the home agent 5 side is issued through an off-line mechanism such as a floppy disk, and the lock at the mobile computer 2 side is released by using this user data.

It is also possible to provide the data reading prohibition control unit 26 in the external memory device 32 instead, and release this data reading prohibition control unit 26 inside the external memory device 32 by entering the user data for releasing the lock into the external memory device 32 using a specialized memory card writer (in the case where the external memory device 32 is a memory card) or the like.

Now, in the above, the case of prohibiting the data reading from the external memory device 32 in the case of the user authentication failure for a prescribed number of times has been described, but alternatively, it is also possible to stop the registration request message transmission from the mobile computer 2 in the case of the user authentication failure for a prescribed number of times. In this case, the function configuration shown in Fig. 11 and the processing according to the flow chart shown in Fig. 12 as described above can be used as follows.

In the mobile computer 2 having the functional configuration of Fig. 11, the consecutive user

authentication failure attempts number specified by the user (or the system manager) in advance is entered in a failed attempts register 121, and a failed attempts counter 122 is initialized to 0 (step S21).

5        Then, whenever the user authentication is attempted (step S22) and the user authentication is not successful as a message indicating the user authentication failure is received from the home agent 5 (step S23 NO), the failed attempts counter 122 is incremented by one (step S24). On  
10    the other hand, when the user authentication is successful (step S23 YES), the failed attempts counter 122 is reset to 0.

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15        Then, the value of the failed attempts register 121 and the value of the failed attempts counter 122 are compared at a comparison unit 123 (step S25), and when they coincide (step S25 YES), the mobile computer 2 activates a message transmission stopping control unit 124 so as to stop all subsequent message transmissions (step S26).

20        When the password input failure is repeated for a prescribed number of times as in the above and the subsequent message transmissions from the mobile computer 2 are stopped by the message transmission stopping control unit 124, it is assumed that the use of a unique information of this mobile computer 2 that is stored in the  
25    home agent is necessary in releasing this message transmission stopping.

For example, the user data (set by the system manager at a time of installing) for releasing the message transmission stopping by the message transmission stopping control unit 124 that is managed at the home agent 5 side  
30    is issued through an off-line mechanism such as a floppy disk, and the lock at the mobile computer 2 side is released by using this user data.

Next, the additional function to be supplemented at  
35    the mobile computer 2 in the case of carrying out the

packet encryption in addition to the mobile IP as described cases will be described.

For example, as shown in Fig. 20, this is the case where the home network 1a and the other section network 1d are provided with packet encryption gateway devices 4a and 4d having the cipher communication function, and the mobile computer is connected within the other section network 1d or connected as an external node, where the encryption parameter is exchanged between the mobile computer 2 and the gateway device 4a of the home network 1a and the packets transferred therebetween are encrypted accordingly. Even in this case, the address of the gateway device 4a and the security information (the encryption parameter, etc.) are stored in the external memory device 32 such as a memory card rather than in a body of the mobile computer 2, and the necessary processing is carrying out by reading out these information from the external memory device 32 to the mobile computer 2.

Fig. 21 shows an exemplary schematic configuration of the mobile computer 2 in such a case of reading out the security information from the external memory device 32 as well. In this case, the external memory device 32 stores a gateway address 324 and a security parameter 325 in addition to the user information 321, the home agent information 322, the home address information 323, and the necessary information is read out through the interface 21 and utilized in the cipher communications by the procedure similar to that described above.

Note here that the information stored in the external memory device (such as a memory card) in this second embodiment includes the user information, the network (address) information and the security information, all of which are information which should not be leaked to the external. Consequently, when the information is read out from the external memory device 32 through the interface 21

whenever necessary, the care should be taken so as not to produce any copy on the mobile computer 2.

As described, the security measure provided in the conventional mobile IP scheme is capable of coping with the pretending attack in host basis, but quite vulnerable to the attack of an improper user pretending a legitimate user. For this reason, there has been possibilities for having the secret information on the internal network improperly taken out to a visited site (external network). In addition, if the mobile computer is stolen, the home network information (such as an IP address of the home agent, its authentication key, addresses of a default router and internal hosts, for example) that is registered in that mobile computer will also be stolen together, so that there is a danger of inducing another attack based on such a stolen information. Thus, such an information from which the internal network information can be guessed should preferably be not maintained on the mobile computer as much as possible from a viewpoint of security.

In this regard, according to this second embodiment, the external memory device for maintaining the user information or the network information regarding the mobile computer is used so that the transmission of the current location registration message of the mobile computer and the formation of the network information can be carried out according to the information stored in this external memory device.

Moreover, no secret information is left on the mobile computer so that it is possible to prevent the stealing of the internal information even in the case where the mobile computer itself is stolen.

Thus, according to this second embodiment, the user information and the network information are stored in the external memory device rather than in the mobile computer, and the user carries this external memory device along with

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him. Then, the user attaches it to the mobile computer whenever necessary and read out the necessary information from the external memory device to the mobile computer so as to carry out the transmission of the current location registration message of the mobile computer and the formation of the network information, so that neither the information leak nor the communication with the home network is possible by the mobile computer alone which does not store the user information and the network information.

10 In addition, by carrying out the control in which the reading of the information from the external memory device to the mobile computer is permitted only when the user authentication succeeds, so that both the acquisition of the information from the external memory device and the  
15 communication with the home network by the improper user who cannot successfully complete the user authentication can be prevented.

Similarly, by carrying out the control in which the message transmission from the mobile computer using the information read out from the external memory device to the  
20 mobile computer is permitted only when the user authentication succeeds, at least the communication with the home network by the improper user who cannot successfully complete the user authentication can be  
25 prevented.

Moreover, by carrying out the control in which the reading of the information from the external memory device to the mobile computer or the message transmission from the mobile computer using the information read out from the  
30 external memory device to the mobile computer is prohibited in the case of the user authentication failure for a prescribed number of times, it is possible to achieve the even superior security.

As should be apparent from the above description, the  
35 basic concept underlying the present invention is that it



is desirable not to leave the sensitive personal  
information on the host as much as possible in the case of  
the mobile computing, so that the home agent or the memory  
card is used for the purpose of managing such sensitive  
5 information.

Note that the above description is directed to the  
case of the communication system using the Co-located Care-  
of Address mode, but the present invention is equally  
10 applicable to the communication system assuming the  
existence of the foreign agent.

Also, the present invention is equally applicable to  
the various other types of mobile communication protocols  
other than the mobile IP as specified by RFC 2002.

15 It also is to be noted that the above described  
embodiments according to the present invention may be  
conveniently implemented in forms of software programs for  
realizing the operations of the mobile computer and the  
home agent, as will be apparent to those skilled in the  
20 computer art. Appropriate software coding can readily be  
prepared by skilled programmers based on the teachings of  
the present disclosure, as will be apparent to those  
skilled in the software art.

In particular, each one of the entire mobile computer  
25 and the entire home agent as described above can be  
conveniently implemented in a form of a software package.  
Such a software program can be provided in a form of a  
computer program product which employs a storage medium  
including stored computer code which is used to program a  
30 computer to perform the disclosed function and process of  
the present invention. The storage medium may include, but  
is not limited to, any type of conventional floppy disks,  
optical disks, CD-ROMs, magneto-optical disks, ROMs, RAMs,  
EPROMs, EEPROMs, magnetic or optical cards, or any other  
35 suitable media for storing electronic instructions.

It is also to be noted that, besides those already mentioned above, many modifications and variations of the above embodiments may be made without departing from the novel and advantageous features of the present invention.

5 Accordingly, all such modifications and variations are intended to be included within the scope of the appended claims.

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WHAT IS CLAIMED IS:

1. A mobile computer management device located in a home network of a mobile computer for enabling the mobile  
5 computer to carry out communications while moving over inter-connected networks, the mobile computer management device comprising:

a registration unit for registering an information on a current location of the mobile computer, based on a  
10 registration message transmitted from the mobile computer, which is currently located outside the home network;

a transfer unit for transferring packets destined to the mobile computer to the current location of the mobile computer according to the information registered by the  
15 registration unit; and

a user authentication unit for carrying out a user authentication, prior to a registration of the information on the current location of the mobile computer, to judge a properness of a user of the mobile computer according to a  
20 user-input-based information received from the mobile computer, and controlling the registration of the information by the registration unit according to a result of the user authentication.

25 2. The mobile computer management device of claim 1, further comprising:

a host authentication unit for carrying out a host authentication, prior to the registration of the information on the current location of the mobile computer,  
30 to judge a properness of the mobile computer according to the registration message received from the mobile computer, and permitting the registration of the information by the registration unit when both the host authentication and the user authentication succeed.

35

3. The mobile computer management device of claim 1,  
further comprising:

5 a transmission unit for transmitting, prior to the  
registration of the information, a challenge message that  
requests returning of a user authentication information to  
the mobile computer when a new registration message  
containing the information on the current location of the  
mobile computer is received from the mobile computer;

10 wherein the user authentication unit judges the  
properness of the user according to the user input based  
information which is contained in a response message  
returned from the mobile computer in response to the  
challenge message as the user authentication information.

15 4. The mobile computer management device of claim 3,  
wherein the transmission unit also transmits the challenge  
message that requests returning of the user authentication  
information to the mobile computer when another  
registration message for re-registration of an already  
20 registered current location is received from the mobile  
computer and a prescribed condition indicates that the user  
authentication is required to be executed again, prior to  
the re-registration by the registration unit.

25 5. The mobile computer management device of claim 4,  
wherein said another message for re-registration is  
received at a prescribed interval, and the challenge  
message is transmitted at an interval longer than the  
prescribed interval.

30

6. The mobile computer management device of claim 3,  
wherein the transmission unit transmits the challenge  
message that contains a challenge code, and the user  
authentication unit judges the properness of the user by  
35 checking a one-time password based on the challenge code

which is returned from the mobile computer as the user-input-based information.

7. The mobile computer management device of claim 1,  
5 wherein the user authentication unit refuses subsequent registration requests from the mobile computer when the user authentication according to the user-input-based information received from the mobile computer fails for a prescribed number of times consecutively.

10

8. The mobile computer management device of claim 1,  
wherein the user authentication unit judges the properness of the user according to whether a password returned from the mobile computer as the user-input-based information  
15 coincides with a pre-registered one.

9. A mobile computer device capable of carrying out communications while moving over inter-connected networks, the mobile computer device comprising:

20 a registration message transmission unit for transmitting a registration message containing an information on a current location of the mobile computer device, from outside a home network of the mobile computer device to a mobile computer management device located at  
25 the home network, the mobile computer management device having a function for managing the information on the current location of the mobile computer device and transferring packets destined to the mobile computer device to the current location of the mobile computer device;

30 a user input unit for accepting a user input for user authentication; and

a user-input-based information transmission unit for transmitting to the mobile computer management device a response message containing information based on the user  
35 input as a user authentication information, when a

challenge message that requests returning of the user authentication information is received from the mobile computer management device in response to the registration message.

5

10. The mobile computer device of claim 9, further comprising:

an authentication unit for judging a properness of the mobile computer management device according to the

10 challenge message received from the mobile computer management device;

wherein the user-input-based information transmission unit transmits the response message containing the information based on the user input when the mobile

15 computer management device is judged as proper.

11. The mobile computer device of claim 9, wherein the user input based information transmission unit transmits the response message containing a one-time password based on a challenge code contained in the challenge message received from the mobile computer management device as the user input based information.

20

12. The mobile computer device of claim 9, further comprising:

25

a message transmission stopping unit for stopping subsequent transmissions of the registration message from the mobile computer device when a message indicating a failure of the user authentication is received from the mobile computer management device for a prescribed number of times consecutively.

30

13. The mobile computer device of claim 9, wherein the user input based information transmission unit transmits a password entered by a user at the mobile computer device as

35

the user input based information.

14. A mobile computer device capable of carrying out communications while moving over inter-connected networks,

5 the mobile computer device comprising:

an external interface unit for reading out desired information from an external memory device connected to the mobile computer device, wherein the external memory device stores at least a user information and a network

10 information to be used for communications at a visited site;

a user authentication unit for carrying out first user authentication locally at the mobile computer device according to the user information stored in the external

15 memory device and a user input;

a registration message transmission unit for transmitting a registration message containing an information on a current location of the mobile computer device, from outside a home network of the mobile computer device, to a mobile computer management device located at the home network, by using the network information read out from the external memory device under a control by the user authentication unit, the mobile computer management device having a function for managing the information on the

20 current location of the mobile computer device and transferring packets destined to the mobile computer device to the current location of the mobile computer device; and

a user-input-based information transmission unit for transmitting to the mobile computer management device a

30 user-input-based information to be used for second user authentication at the mobile computer management device.

15. The mobile computer device of claim 14, wherein the user authentication unit permits reading from the external

35 memory device through the external interface unit when the

first user authentication succeeds.

16. The mobile computer device of claim 14, wherein the user authentication unit permits transmission of the registration message by the registration message transmission unit when the first user authentication succeeds.

17. The mobile computer device of claim 14, wherein the user information stored in the external memory device contains a personal information of a user who uses the mobile computer device, and the user authentication unit judges that the first user authentication succeeds when a user authentication information stored in the mobile computer device in correspondence to the personal information stored in the external memory device coincides with the user input as entered by the user at a time of connecting the external memory device to the mobile computer device.

18. The mobile computer device of claim 14, further comprising:  
a reading prohibiting unit for prohibiting subsequent reading from the external memory device through the external interface unit when the first user authentication fails for a prescribed number of times consecutively.

19. The mobile computer device of claim 14, further comprising:  
a message transmission stopping unit for stopping subsequent transmissions of the registration message from the mobile computer device when the first user authentication fails for a prescribed number of times consecutively.



20. The mobile computer device of claim 14, further comprising:

5 a reading prohibiting unit for prohibiting subsequent reading from the external memory device through the external interface unit when the second user authentication at the mobile computer management device fails for a prescribed number of times consecutively.

10 21. The mobile computer device of claim 14, further comprising:

15 a message transmission stopping unit for stopping subsequent transmissions of the registration message from the mobile computer device when the second user authentication at the mobile computer management device fails for a prescribed number of times consecutively.

20 22. The mobile computer device of claim 14, wherein the network information to be read out from the external memory device contains at least one of a home address information of the mobile computer device, an address information of the mobile computer management device, and an information for host authentication to be carried out between the mobile computer device and the mobile computer management device.

25

23. The mobile computer device of claim 14, wherein the external memory device also stores a security information with respect to a packet relay device which is capable of processing encrypted packets transmitted from the mobile computer device, and the mobile computer device carries out cipher communications using an encryption processing from the visited site, by using the security information read out from the external memory device through the external interface unit.

35

24. The mobile computer device of claim 14, further comprising:

an internal memory for temporarily storing the desired information read out from the external memory device,

5 wherein the desired information temporarily stored in the internal memory is deleted when communications using the desired information is finished.

25. A method for registering a mobile computer in a mobile  
10 computer management device for enabling the mobile computer to carry out communications while moving over inter-connected networks, the mobile computer management device having having a function for managing information on a current location of the mobile computer device and  
15 transferring packets destined to the mobile computer device to the current location of the mobile computer device, the method comprising the steps of:

transmitting a registration message containing the information on the current location of the mobile computer  
20 from the mobile computer at a visited site to a mobile computer management device at a home network of the mobile computer;

carrying out a user authentication to judge a properness of a user of the mobile computer according to a  
25 user-input-based information; and

registering the current location of the mobile computer at the mobile computer management device when the user is judged as a proper user.

30 26. The method of claim 25, wherein the user authentication is carried out at the mobile computer management device according to a user-input-based information transmitted from the mobile computer to the mobile computer management device.

35

27. The method of claim 25, wherein the user authentication is carried out locally at the mobile computer according to an information entered by the user at the mobile computer.

5

28. An article of manufacture, comprising:

a computer usable medium having computer readable program code means embodied therein for causing a computer to function as a mobile computer management device located  
10 in a home network of a mobile computer for enabling the mobile computer to carry out communications while moving over inter-connected networks, the computer readable program code means includes:

first computer readable program code means for causing  
15 said computer to register an information on a current location of the mobile computer, based on a registration message transmitted from the mobile computer, which is currently located outside the home network;

second computer readable program code means for  
20 causing said computer to transfer packets destined to the mobile computer to the current location of the mobile computer according to the information registered by the first computer readable program code means; and

third computer readable program code means for causing  
25 said computer to carry out a user authentication, prior to a registration of the information on the current location of the mobile computer, to judge a properness of a user of the mobile computer according to a user-input-based information received from the mobile computer, and  
30 controlling the registration of the information by the first computer readable program code means according to a result of the user authentication.

29. An article of manufacture, comprising:

35 a computer usable medium having computer readable

program code means embodied therein for causing a computer to function as a mobile computer capable of carrying out communications while moving over inter-connected networks, the computer readable program code means includes:

5 first computer readable program code means for causing said computer to transmit a registration message containing an information on a current location of the mobile computer, from outside a home network of the mobile computer to a mobile computer management device located at  
10 the home network, the mobile computer management device having a function for managing the information on the current location of the mobile computer and transferring packets destined to the mobile computer to the current location of the mobile computer; and

15 second computer readable program code means for causing said computer to accept a user input for user authentication; and

third computer readable program code means for causing said computer to transmit to the mobile computer management  
20 device a response message containing information based on the user input as a user authentication information, when a challenge message that requests returning of the user authentication information is received from the mobile computer management device in response to the registration  
25 message.

30. An article of manufacture, comprising:

a computer usable medium having computer readable program code means embodied therein for causing a computer  
30 to function as a mobile computer device capable of carrying out communications while moving over inter-connected networks, the computer readable program code means includes:

first computer readable program code means for causing  
35 said computer to read out desired information from an

external memory device connected to the mobile computer device, wherein the external memory device stores at least a user information and a network information to be used for communications at a visited site;

5       second computer readable program code means for causing said computer to carry out first user authentication locally at the mobile computer device according to the user information stored in the external memory device and a user input;

10       third computer readable program code means for causing said computer to transmit a registration message containing an information on a current location of the mobile computer device, from outside a home network of the mobile computer device to a mobile computer management device located at  
15 the home network, by using the network information read out from the external memory device under a control by the second computer readable program code means, the mobile computer management device having a function for managing the information on the current location of the mobile  
20 computer device and transferring packets destined to the mobile computer device to the current location of the mobile computer device; and

      fourth computer readable program code means for causing said computer to transmit to the mobile computer  
25 management device a user-input-based information to be used for second user authentication at the mobile computer management device.

30

35

ABSTRACT OF THE DISCLOSURE

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A mobile IP communication scheme capable of authenticating an individual user who is operating the mobile computer when the mobile computer is connected to a visited site network and transmits a current location registration message to the home agent is disclosed. A user authentication to judge a properness of a user of the mobile computer is carried out according to a user input based information, and the current location of the mobile computer is registered at the mobile computer management device (home agent) when the user is judged as a proper user. The user authentication can be carried out either at the mobile computer management device according to a user input based information received from the mobile computer, or at the mobile computer according to an information entered by the user at the mobile computer.

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25

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# DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## **MOBILE IP COMMUNICATION SCHEME INCORPORATING INDIVIDUAL USER AUTHENTICATION**

the specification of which is attached hereto unless the following box is checked:

☒ was filed on September 4, 1998 as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

### PRIOR FOREIGN APPLICATION(S)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED
9-241163	Japan	5/September/1997	Yes
9-241167	Japan	5/September/1997	Yes

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

APPLICATION NO.	FILING DATE

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Stephen A. Bent, Reg. No. 29,768; David A. Blumenthal, Reg. No. 26,257; William T. Ellis, Reg. No. 26,874; John J. Feldhaus, Reg. No. 28,822; Patricia D. Granados, Reg. No. 33,683; John P. Isacson, Reg. No. 33,715; Donald D. Jeffery, Reg. No. 19,980; Eugene M. Lee, Reg. No. 32,039; Richard Linn, Reg. No. 25,144; Peter G. Mack, Reg. No. 26,001; Brian J. McNamara, Reg. No. 32,789; Sybil Meloy, Reg. No. 22,749; George E. Quillin, Reg. No. 32,792; Colin G. Sandercock, Reg. No. 31,298; Bernhard D. Saxe, Reg. No. 28,665; Charles F. Schill, Reg. No. 27,590; Richard L. Schwaab, Reg. No. 25,479; Arthur Schwartz, Reg. No. 22,115; Harold C. Wegner, Reg. No. 25,258.

Address all correspondence to FOLEY & LARDNER, 3000 K Street, N.W., Suite 500, P.O. Box 25696, Washington, D.C. 20007-8696.  
Address telephone communications to Richard L. Schwaab at (202) 672-5300.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor <i>Atsushi INOUE</i>	Signature of First or Sole Inventor	Date
Residence Address <i>Tokyo, Japan</i>	Country of Citizenship <i>Japan</i>	
Post Office Address <i>c/o Intellectual Property Division, Toshiba Corporation, 1-1-1, Shibaura, Minato-ku, Tokyo, Japan</i>		

Full Name of Second Inventor <i>Masahiro ISHIYAMA</i>	Signature of Second Inventor	Date
Residence Address <i>Tokyo, Japan</i>	Country of Citizenship <i>Japan</i>	
Post Office Address <i>c/o Intellectual Property Division, Toshiba Corporation, 1-1-1, Shibaura, Minato-ku, Tokyo, Japan</i>		

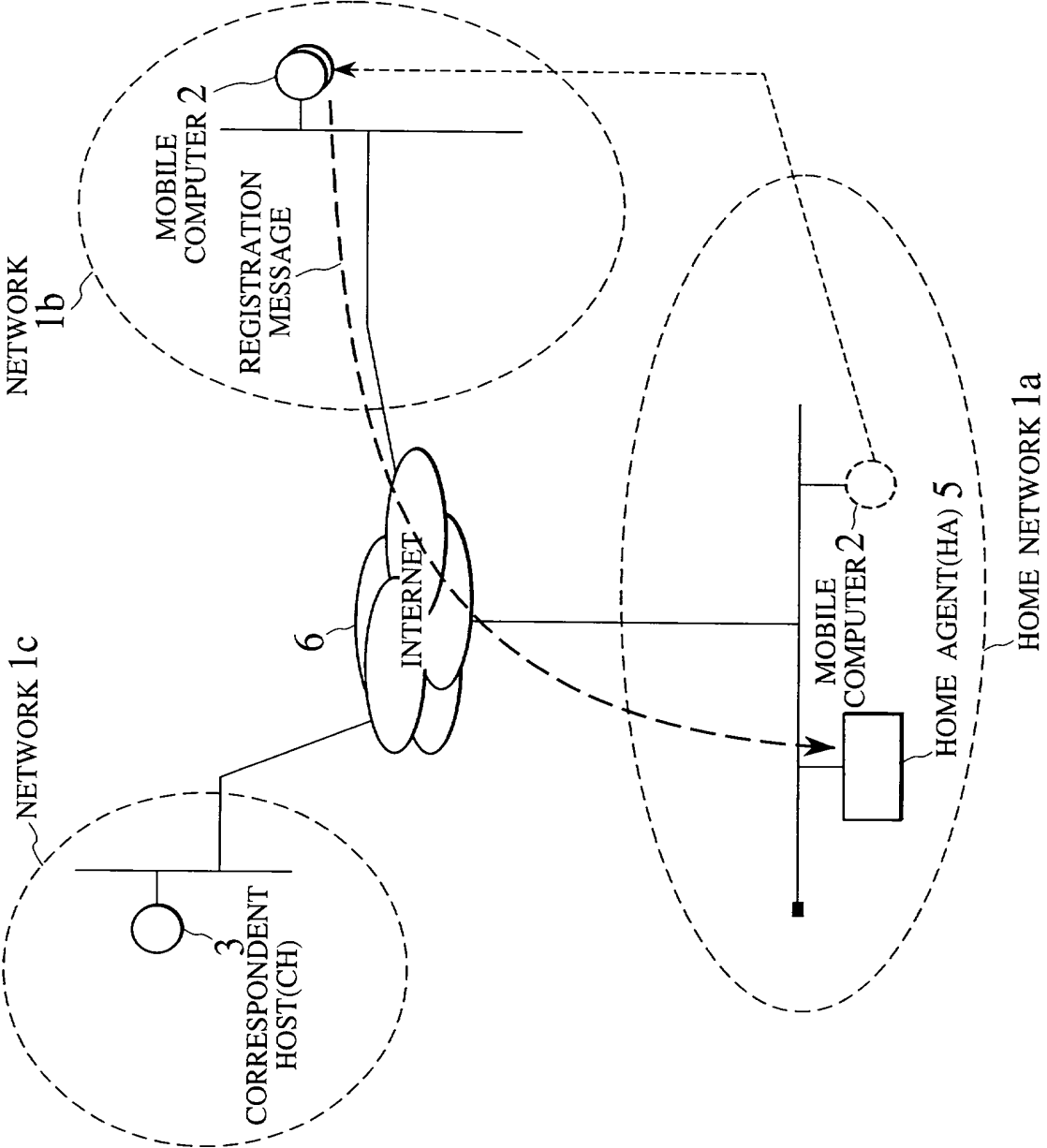
Full Name of Third Inventor <i>Atsushi FUKUMOTO</i>	Signature of Third Inventor	Date
Residence Address <i>Tokyo, Japan</i>	Country of Citizenship <i>Japan</i>	
Post Office Address <i>c/o Intellectual Property Division, Toshiba Corporation, 1-1-1, Shibaura, Minato-ku, Tokyo, Japan</i>		

Full Name of Fourth Inventor <i>Yoshijuki TSUDA</i>	Signature of Fourth Inventor	Date
Residence Address <i>Tokyo, Japan</i>	Country of Citizenship <i>Japan</i>	
Post Office Address <i>c/o Intellectual Property Division, Toshiba Corporation, 1-1-1, Shibaura, Minato-ku, Tokyo, Japan</i>		

Full Name of Fifth Inventor <i>Toshio OKAMOTO</i>	Signature of Fifth Inventor	Date
Residence Address <i>Tokyo, Japan</i>	Country of Citizenship <i>Japan</i>	
Post Office Address <i>c/o Intellectual Property Division, Toshiba Corporation, 1-1-1, Shibaura, Minato-ku, Tokyo, Japan</i>		



FIG. 1



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FIG. 2

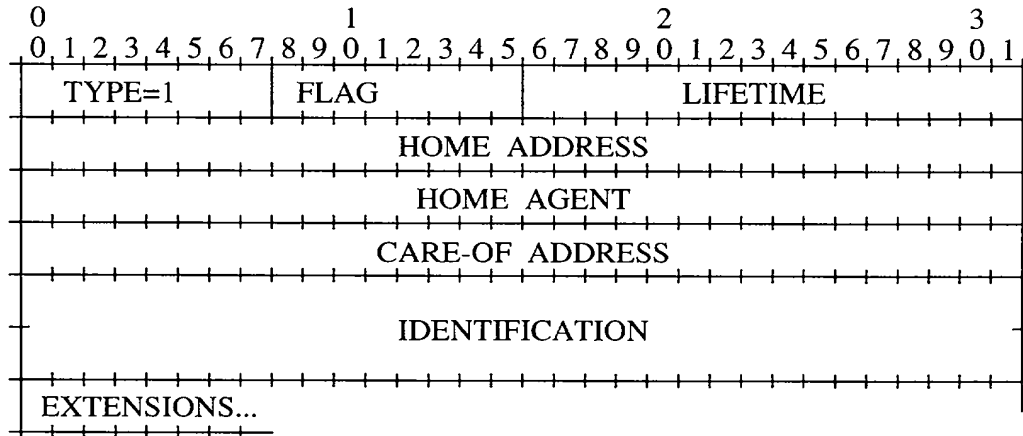


FIG. 3

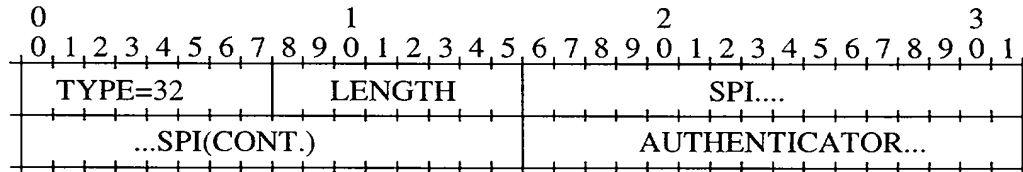


FIG. 4

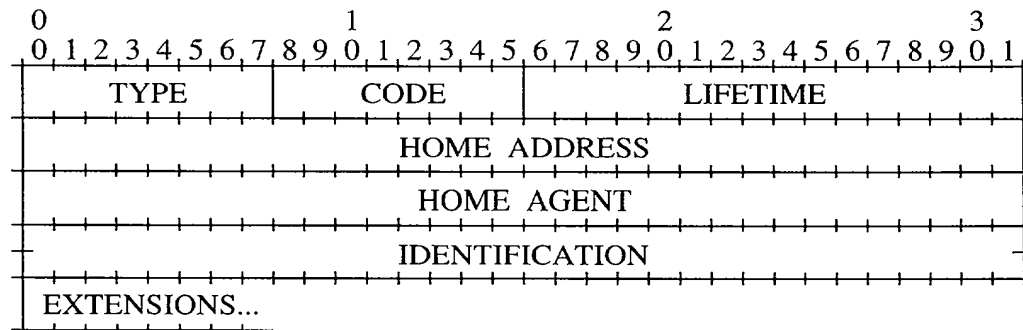


FIG. 5

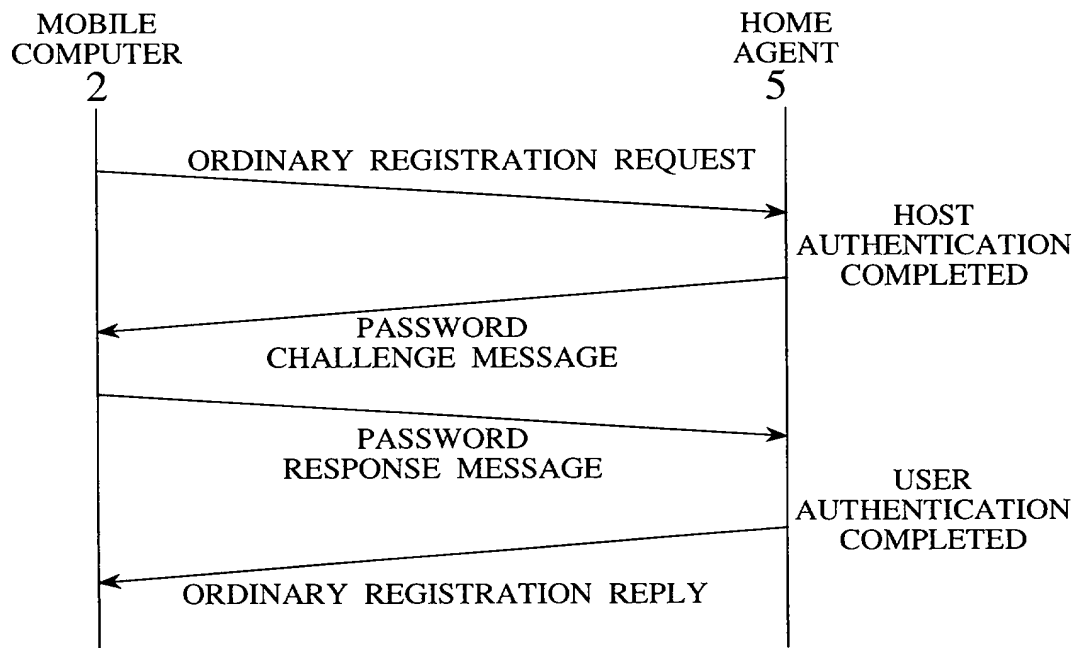


FIG. 6A

TYPE=137(PASSWD CHALLENGE)
HOME ADDRESS
HOME AGENT
IDENTIFICATION

FIG. 6B

TYPE=138(PASSWD RESPONSE)
HOME ADDRESS
HOME AGENT
IDENTIFICATION
PASSWORD STRING (VARIABLE LENGTH)

FIG. 7

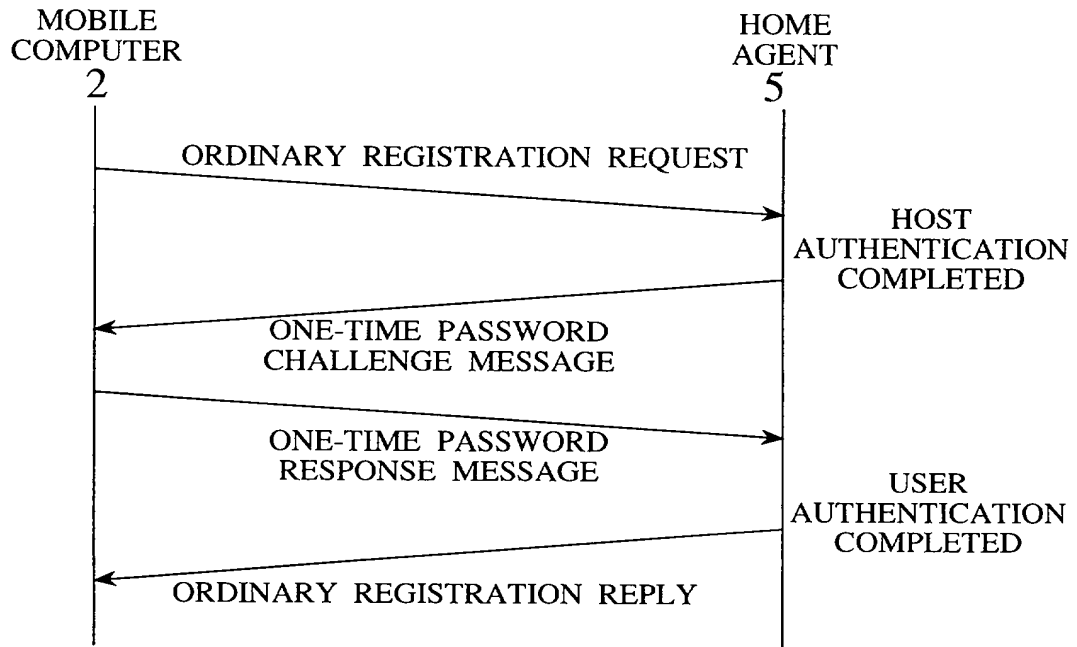


FIG. 8A

TYPE=139 (ONETIME PASSWD CHALLENGE)
HOME ADDRESS
HOME AGENT
IDENTIFICATION
CHALLENGE CODE

FIG. 8B

TYPE=140 (ONETIME PASSWD RESPONSE)
HOME ADDRESS
HOME AGENT
IDENTIFICATION
PASSWORD STRING (VARIABLE LENGTH)

FIG. 9

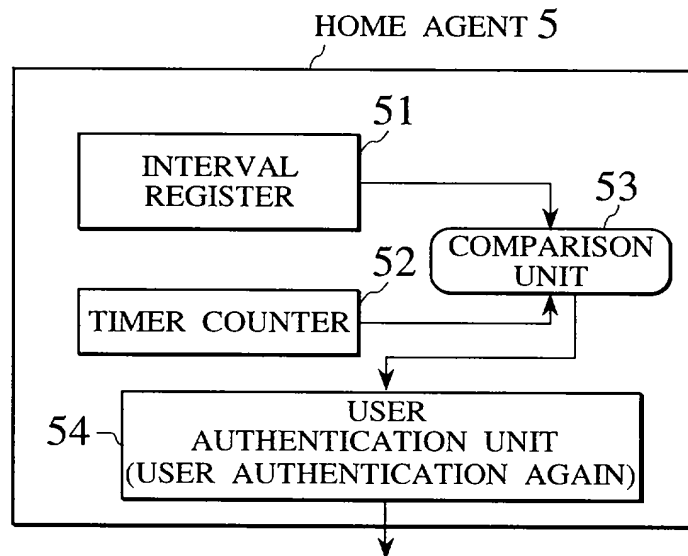


FIG. 10

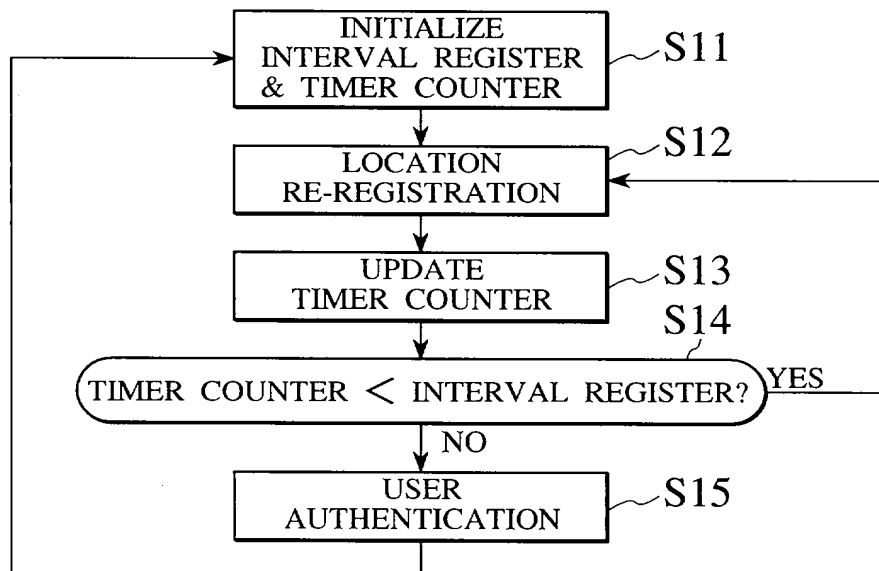


FIG. 11

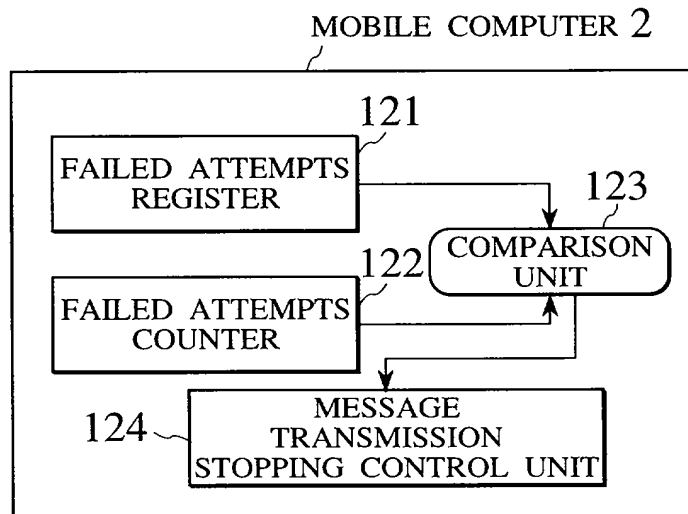


FIG. 12

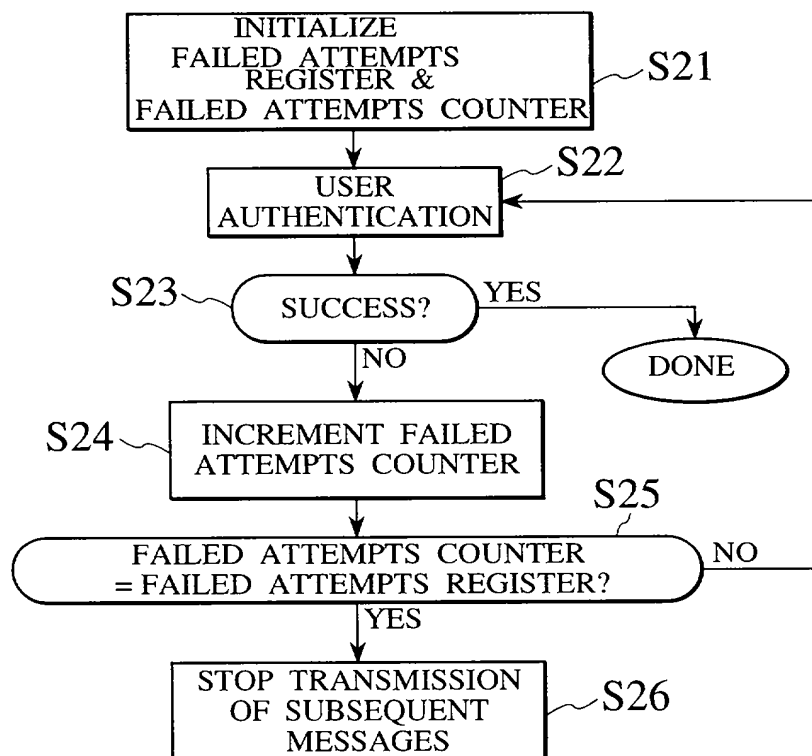


FIG. 13

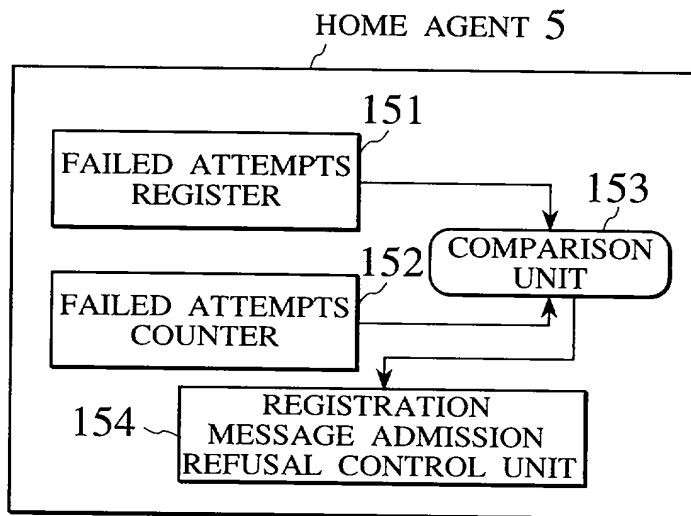


FIG. 14

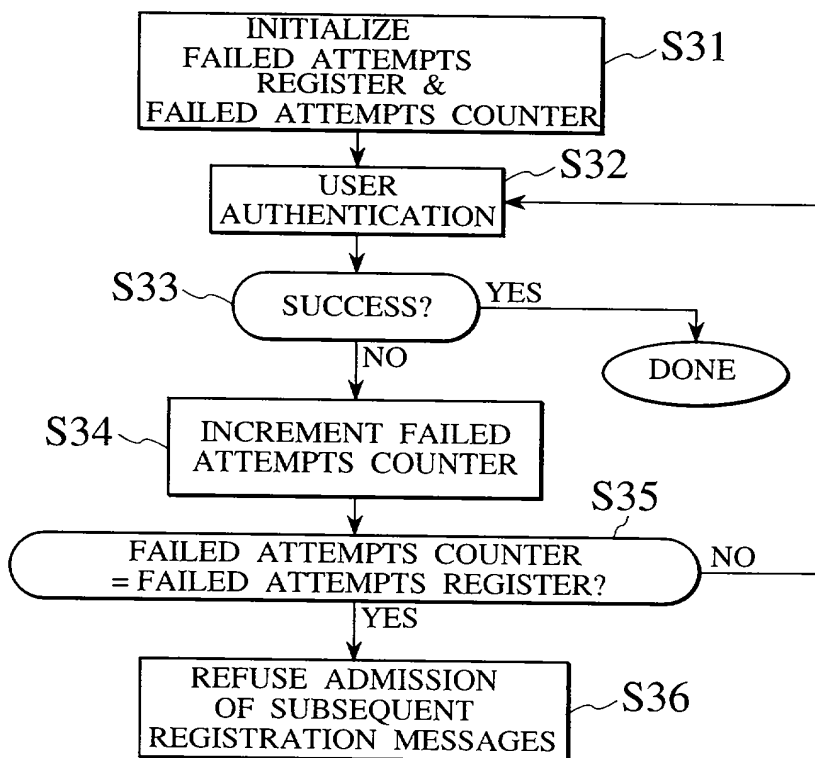


FIG. 15

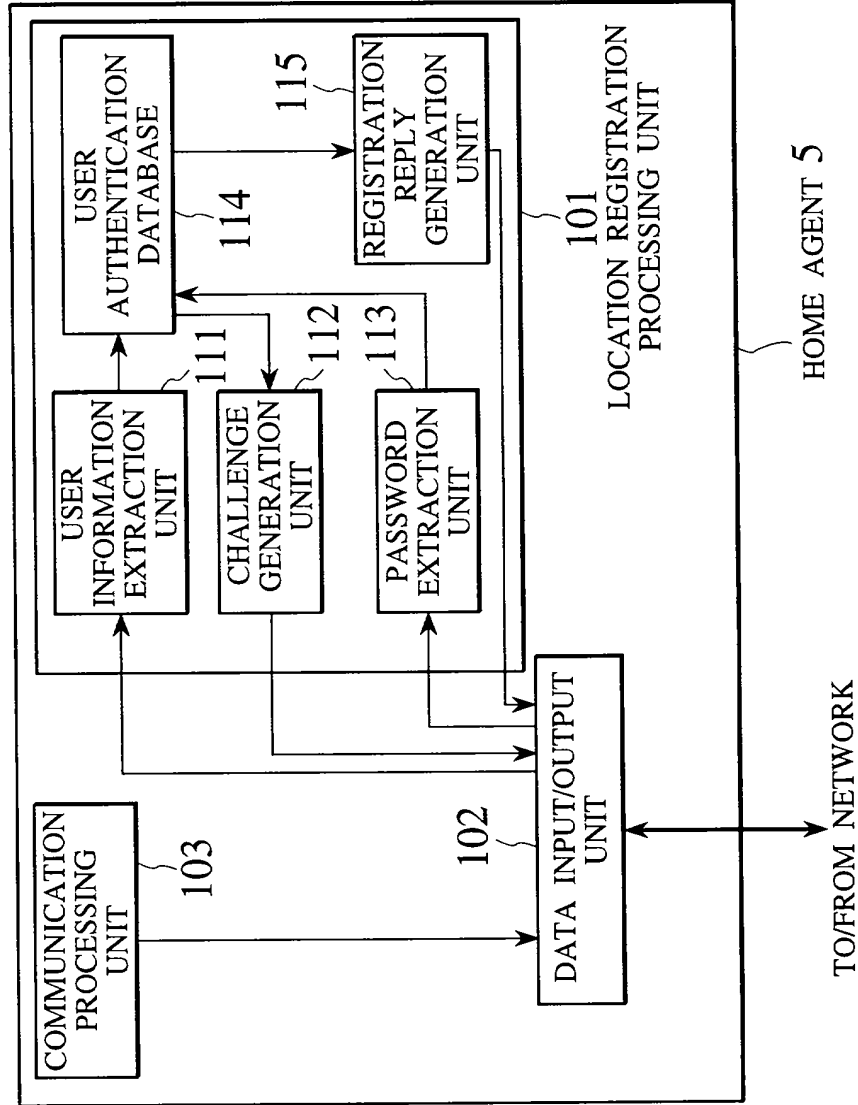




FIG. 16

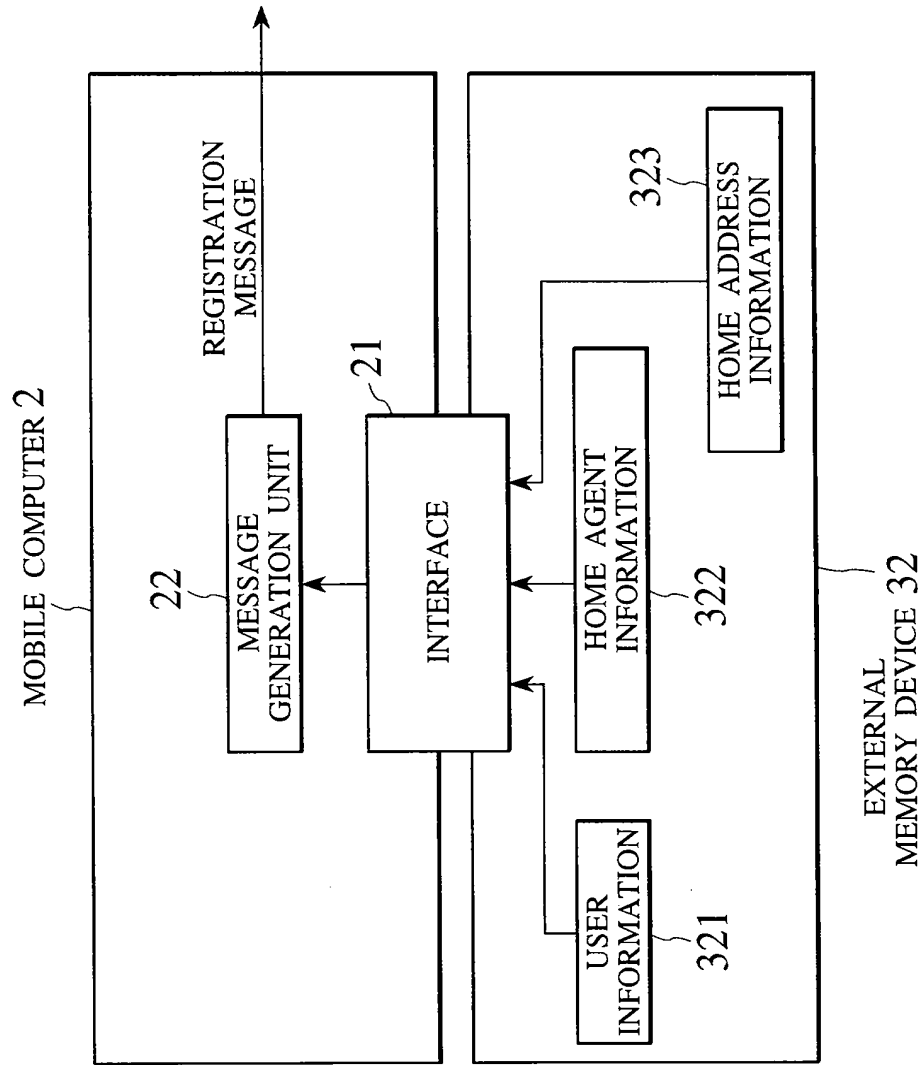


FIG. 17

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TYPE=39										LENGTH										USER INFO...									
...(CONT.)																													

FIG. 18

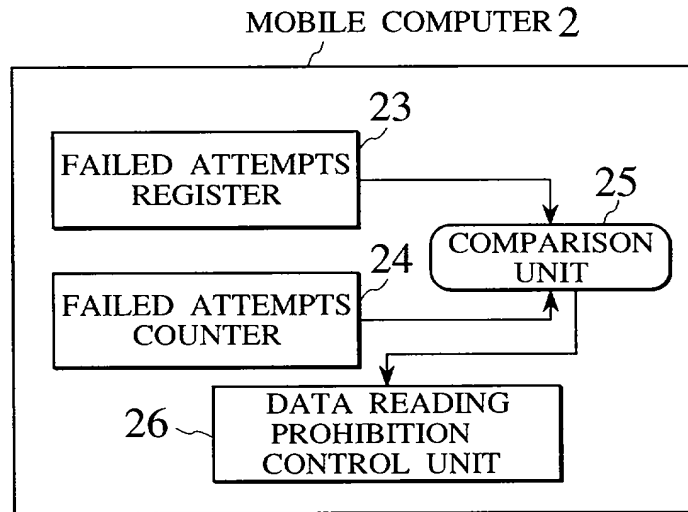


FIG. 19

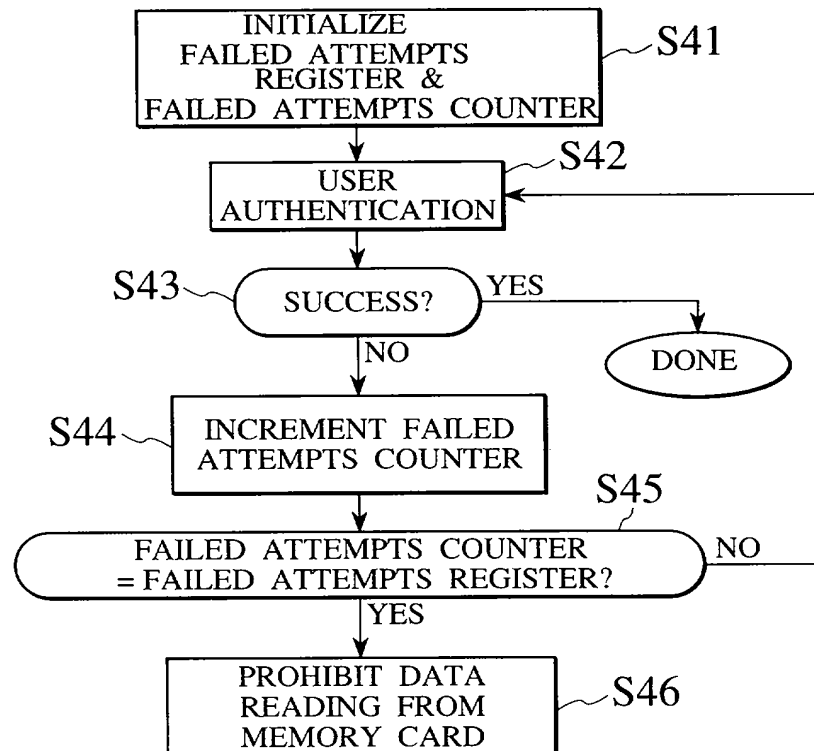


FIG. 20

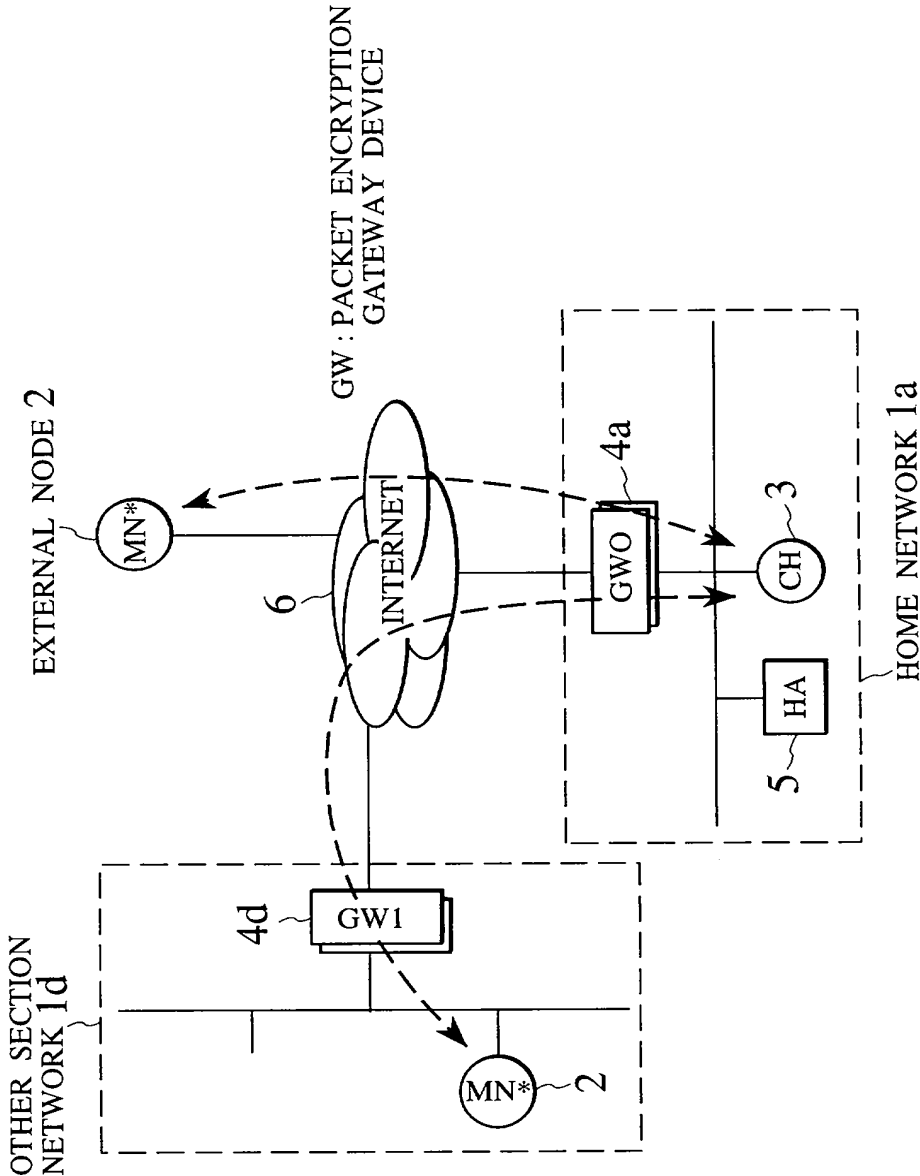


FIG. 21

